

Algebraic CST_2 : Real Dirac Matrices

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Algebraic
 CST_2 : Real
Dirac Matrices

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Hamiltonian

Eigensystems

Real Dirac
Matrices
(RDMs)

Symplectic
Transforma-
tions

Electromechanical
Equivalence
(EMEQ)

- 1 Hamiltonian
- 2 Eigensystems
- 3 Real Dirac Matrices (RDMs)
- 4 Symplectic Transformations
- 5 Electromechanical Equivalence (EMEQ)

Hamilton function with symmetric matrix **A**:

$$\mathcal{H} = \frac{1}{2} \psi^T \mathbf{A} \psi,$$

where $\psi = (q_1, p_1, \dots, q_n, p_n)^T$. Equations of Motion (EQOM):

$$\begin{aligned} \dot{q}_i &= \frac{\partial \mathcal{H}}{\partial p_i} & \dot{p}_i &= -\frac{\partial \mathcal{H}}{\partial q_i} \\ \dot{\psi} &= \gamma_0 \nabla_{\psi} \mathcal{H} \\ &= \gamma_0 \mathbf{A} \psi = \mathbf{F} \psi, \end{aligned}$$

where γ_0 has a blockdiagonal skew-symmetric structure:

$$\gamma_0 = \begin{pmatrix} 0 & 1 & & & \\ -1 & 0 & & & \\ & & 0 & 1 & \\ & & -1 & 0 & \dots \\ & & & \dots & \end{pmatrix}$$

Hamilton EQOM:

$$\begin{aligned}\dot{\psi} &= \gamma_0 \mathbf{A} \psi = \mathbf{F} \psi \\ \mathbf{F}^T &= (\gamma_0 \mathbf{A})^T = -\mathbf{A} \gamma_0 = \gamma_0 \mathbf{F} \gamma_0\end{aligned}$$

since $\gamma_0^T = -\gamma_0$ and $\gamma_0^2 = -1$.

We call a matrix $\mathbf{F}$ that holds

$$\mathbf{F}^T = \gamma_0 \mathbf{F} \gamma_0$$

a **symplex**. Also called “infinitesimal symplectic” or “Hamiltonian matrix”.

Matrix of second moments σ by RMS:

$$\sigma \equiv \frac{1}{N} \sum_{i=1}^N \psi_i \psi_i^T$$

$$\mathbf{S} \equiv \sigma \gamma_0$$

EQOM of second moments:

$$\begin{aligned} \dot{\sigma} &= \frac{1}{N} \sum_{i=1}^N (\dot{\psi}_i \psi_i^T + \psi_i \dot{\psi}_i^T) \\ &= \mathbf{F} \sigma + \sigma \mathbf{F}^T \\ &= \mathbf{F} \sigma + \sigma \gamma_0 \mathbf{F} \gamma_0 \end{aligned}$$

Multiplication with γ_0 from the right side:

$$\begin{aligned} \dot{\sigma} \gamma_0 &= \mathbf{F} \sigma \gamma_0 - \sigma \gamma_0 \mathbf{F} \\ \dot{\mathbf{S}} &= \mathbf{F} \mathbf{S} - \mathbf{S} \mathbf{F} \end{aligned}$$

If the matrix $\mathbf{F}$ is constant within $[0 \dots \tau]$, then:

$$\begin{aligned}\dot{\psi} &= \mathbf{F} \psi \\ \psi(\tau) &= \exp\left(\int_0^\tau \mathbf{F} dt\right) \psi(0) \\ &= \exp(\mathbf{F} \tau) \psi(0) \\ &= \mathbf{M}(\tau, 0) \psi(0)\end{aligned}$$

Hence the solution of a piecewise constant $\mathbf{F}$ can be written as a product of the corresponding transfer matrices. The σ -matrix transforms accordingly:

$$\begin{aligned}\sigma(\tau) &= \frac{1}{N} \sum_{i=0}^N \psi_i(\tau) \psi_i^T(\tau) \\ &= \frac{1}{N} \sum_{i=0}^N \mathbf{M} \psi_i(0) \psi_i^T(0) \mathbf{M}^T \\ &= \mathbf{M} \sigma(0) \mathbf{M}^T\end{aligned}$$

Example: $(q_1, p_1, q_2, p_2) \equiv (x, x', y, y')$, i.e. transversal coordinates in a horizontally focusing quadrupole:

$$\mathbf{F} = \begin{pmatrix} 0 & 1 & 0 & 0 \\ -k^2 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & k^2 & 0 \end{pmatrix}$$

$$\mathbf{M} = \exp(\mathbf{F} \tau)$$

$$= \begin{pmatrix} \cos k \tau & \frac{\sin k \tau}{k} & 0 & 0 \\ -k \sin k \tau & \cos k \tau & 0 & 0 \\ 0 & 0 & \cosh k \tau & \frac{\sinh k \tau}{k} \\ 0 & 0 & k \sinh k \tau & \cosh k \tau \end{pmatrix}$$

$$\begin{aligned} \mathbf{F}^T &= \gamma_0 \mathbf{F} \gamma_0 \\ \Rightarrow \mathbf{M} \gamma_0 \mathbf{M}^T &= \gamma_0 \end{aligned}$$

Proof:

$$\begin{aligned} \mathbf{M} \gamma_0 \mathbf{M}^T &= \exp(\mathbf{F} \tau) \gamma_0 (\exp(\mathbf{F} \tau))^T \\ &= \exp(\mathbf{F} \tau) \gamma_0 \left(\sum_{k=0}^{\infty} \frac{(\mathbf{F}^T)^k \tau^k}{k!} \right) \\ &= \exp(\mathbf{F} \tau) \gamma_0 \left(\sum_{k=0}^{\infty} \frac{(\gamma_0 \mathbf{F} \gamma_0)^k \tau^k}{k!} \right) \\ &= \exp(\mathbf{F} \tau) \gamma_0 \left(1 + \frac{\gamma_0 \mathbf{F} \gamma_0}{1} + \frac{\gamma_0 \mathbf{F} \gamma_0 \gamma_0 \mathbf{F} \gamma_0}{2!} + \dots \right) \\ &= \exp(\mathbf{F} \tau) \left(1 - \frac{\mathbf{F}}{1} + \frac{\mathbf{F}^2}{2!} - \frac{\mathbf{F}^3}{3!} + \dots \right) \gamma_0 \\ &= \exp(\mathbf{F} \tau) \exp(-\mathbf{F} \tau) \gamma_0 \\ &= \gamma_0 \end{aligned}$$

The Courant Snyder theory is about **stable** systems. $\Rightarrow$ The eigenvalues of **transfer matrices** representing “strongly” **stable systems** are **complex conjugate pairs on the unit circle in the complex plane** [1]:

$$\begin{aligned}\mathbf{M}(s) &= \mathbf{E} \Lambda(s) \mathbf{E}^{-1} \\ \Lambda &= \text{Diag}(e^{i\omega_1 s}, e^{-i\omega_1 s}, e^{i\omega_2 s}, e^{-i\omega_2 s})\end{aligned}$$

This means that **strongly stable systems** can be represented by a constant force matrix $\bar{\mathbf{F}}$ with **purely imaginary eigenvalues**:

$$\begin{aligned}\bar{\mathbf{F}} &= \mathbf{E} \lambda \mathbf{E}^{-1} \\ \lambda &= \text{Diag}(i\omega_1, -i\omega_1, i\omega_2, -i\omega_2)\end{aligned}$$

where

$$\Lambda = \exp(\lambda s)$$

(Easy proof.)

A system with a one-turn (one-sector) transfer matrix $\mathbf{M}$ is matched, if

$$\sigma(s) = \mathbf{M} \sigma(0) \mathbf{M}^T$$

$$\sigma = -\mathbf{M} \sigma \gamma_0 \mathbf{M}^{-1} \gamma_0$$

$$\sigma \gamma_0 = \mathbf{M} \sigma \gamma_0 \mathbf{M}^{-1}$$

$$\mathbf{S} = \mathbf{M} \mathbf{S} \mathbf{M}^{-1}$$

$$\mathbf{S} \mathbf{M} = \mathbf{M} \mathbf{S}$$

$$\mathbf{S} \mathbf{E} \wedge \mathbf{E}^{-1} = \mathbf{E} \wedge \mathbf{E}^{-1} \mathbf{S}$$

$$(\mathbf{E}^{-1} \mathbf{S} \mathbf{E}) \wedge = \wedge (\mathbf{E}^{-1} \mathbf{S} \mathbf{E})$$

$$\mathbf{D} \wedge = \wedge \mathbf{D}$$

Since only a diagonal matrix commutes with diagonal matrices, **D** is diagonal, i.e.:

$$\begin{aligned}\mathbf{D} &= \mathbf{E}^{-1} \mathbf{S} \mathbf{E} \\ \mathbf{S} &= \mathbf{E} \mathbf{D} \mathbf{E}^{-1}\end{aligned}$$

A. Wolski [2]:

$$\mathbf{D} = \text{Diag}(i \varepsilon_1, -i \varepsilon_1, i \varepsilon_2, -i \varepsilon_2).$$

⇒ Find matched distribution σ_m for given emittances ε_i by the determination of the matrix of eigenvectors **E**:

$$\sigma_m = -\mathbf{E} \mathbf{D} \mathbf{E}^{-1} \gamma_0.$$

Or (equivalent) find transformation such that **F̄** and hence **M** are block-diagonal. Then it is possible to apply 1-dim. Courant-Snyder-Theory (CST).

Why introducing Real Dirac Matrices in Classical Mechanics?

- 1 The RDMs form a complete system of (“normalized”) matrices. This is useful in the same way as orthogonal coordinate systems are.
- 2 The RDMs are easy to handle, have zero trace (only the unit matrix has a non-zero trace) and form a group.
- 3 The RDMs have unique features: All RDMs are either symplectic or antisymplectic, a symplectic or an antisymplectic, either odd or even.
- 4 **Real** because the 16 real matrices form a complete system.
- 5 **Real** because matrices **F**, **M** and **S** and all variables are real.

The *real* Dirac matrices do only exist for “signature” $(-, +, +, +)$. We define γ_0 to be the symplectic unit matrix, i.e. it is defined by the Hamilton EQOM:

$$\dot{\psi} = \gamma_0 \mathbf{A} \psi.$$

Then 3 more basic matrices γ_k $k \in [1, 2, 3]$ are to be found with

$$\gamma_0 \gamma_k + \gamma_k \gamma_0 = 0$$

A possible choice for $\psi = (q_1, p_1, q_2, p_2)^T$ is:

$$\gamma_0 = \begin{pmatrix} 0 & 1 & 0 & 0 \\ -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & -1 & 0 \end{pmatrix}$$

$$\gamma_2 = \begin{pmatrix} 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{pmatrix}$$

$$\gamma_1 = \begin{pmatrix} 0 & -1 & 0 & 0 \\ -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{pmatrix}$$

$$\gamma_3 = \begin{pmatrix} 0 & -1 & 0 & 0 \\ -1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

The remaining matrices are defined by

$$\begin{array}{llll}
 \gamma_{14} & = & \gamma_0 \gamma_1 \gamma_2 \gamma_3; & \gamma_{15} & = & \mathbf{1} \\
 \gamma_4 & = & \gamma_0 \gamma_1; & \gamma_7 & = & \gamma_{14} \gamma_0 \gamma_1 = \gamma_2 \gamma_3 \\
 \gamma_5 & = & \gamma_0 \gamma_2; & \gamma_8 & = & \gamma_{14} \gamma_0 \gamma_2 = \gamma_3 \gamma_1 \\
 \gamma_6 & = & \gamma_0 \gamma_3; & \gamma_9 & = & \gamma_{14} \gamma_0 \gamma_3 = \gamma_1 \gamma_2 \\
 \gamma_{10} & = & \gamma_{14} \gamma_0 & = & \gamma_1 \gamma_2 \gamma_3 \\
 \gamma_{11} & = & \gamma_{14} \gamma_1 & = & \gamma_0 \gamma_2 \gamma_3 \\
 \gamma_{12} & = & \gamma_{14} \gamma_2 & = & \gamma_0 \gamma_3 \gamma_1 \\
 \gamma_{13} & = & \gamma_{14} \gamma_3 & = & \gamma_0 \gamma_1 \gamma_2
 \end{array}$$

Note: $\gamma_5 \neq \gamma_0 \gamma_1 \gamma_2 \gamma_3$ but instead $\gamma_{14} \equiv \gamma_0 \gamma_1 \gamma_2 \gamma_3$!

The RDMs (as used in Dirac equation) have an intrinsic structure:

- The four basic matrices $\gamma_0 \dots \gamma_3$ represent a 4-vector.
- The six products of two basic matrices are called “bi-vectors” $\gamma_4 \dots \gamma_9$.
- The four products of 3 basic matrices are a “pseudo-4-vector” $\gamma_{10} \dots \gamma_{13}$.
- The product of all four basic matrices is the pseudo-scalar: $\gamma_{14} = \gamma_0 \gamma_1 \gamma_2 \gamma_3$.
- The unit matrix γ_{15} is a scalar.

This structure refers to the *transformation properties* in Minkowski space and allows a certain grouping of the RDMs (see for instance Messiah, Quantum Mechanics II).

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γ_x	E.M.	γ_x^2	γ_x^T	s/a	S/A	$\tilde{\gamma}_0$	e/o
γ_0	$\vec{E}$	-1	-	s	S	y	e
$\vec{\gamma}$	$\vec{P}$	+1	+	s	A	n	(e,o,e)
$\gamma_0 \vec{\gamma}$	$\vec{E}$	+1	+	s	A	n	(e,o,e)
$\gamma_{14} \gamma_0 \vec{\gamma}$	$\vec{B}$	-1	-	s	S	y	(o,e,o)
$\gamma_{14} \gamma_0$		-1	-	a	A	y	o
$\gamma_{14} \vec{\gamma}$		+1	+	a	S	n	(o,e,o)
γ_{14}		-1	-	a	A	y	o
$\gamma_{15} = \mathbf{1}$		+1	+	a	S	n	e

“s/a” simplex or antisimplex.

“S/A” symplectic or antisymplectic.

“e/o” even or odd.

$\tilde{\gamma}_0$ A basis exists (y) or not (n) in which γ_x is the “time direction”.

Any *real* 4×4 -matrix $\mathbf{M}$ can be written as a linear combination of RDMs:

$$\mathbf{M} = \sum_{k=0}^{15} m_k \gamma_k .$$

The RDM-coefficients m_k can be computed by

$$m_k = \text{sign}(\gamma_k) \text{Tr}(\mathbf{M} \gamma_k + \gamma_k \mathbf{M})/8 ,$$

where $\text{sign}(\gamma_k)$ is the *signature* of γ_k , i.e.:

$$\text{sign}(\gamma_k) = \text{Tr}(\gamma_k^2)/4 = \pm 1 .$$

Note: Antisymmetric RDMs ($\gamma_0, \gamma_7 \dots \gamma_9, \gamma_{10}$ and γ_{14}) have a negative signature, symmetric RDMs a positive.

- A **symplex** $\mathbf{F}$ in CST_n fulfills $\mathbf{F}^T = \gamma_0 \mathbf{F} \gamma_0$.
 - The product of two anticommuting symplexes is a symplex.
 - CST_2 : The basic matrices $\gamma_0, \gamma_1, \gamma_2$ and γ_3 are anticommuting symplexes.
- $\Rightarrow$ All products of two basic matrices are symplexes: $\gamma_4 \dots \gamma_9$.
- Six such products exists ($\gamma_4 \dots \gamma_9$) plus four basic matrices.
- $\Rightarrow \nu = 10$ parameters of the 2-dim. force matrix are found.
- $\Rightarrow$ The force matrix $\mathbf{F}$ can then be written as:

$$\mathbf{F} = \sum_{k=0}^9 f_k \gamma_k .$$

A linear coordinate transformation represented by a matrix $\mathbf{R}$ is called symplectic, if $\mathbf{R} \gamma_0 \mathbf{R}^T = \gamma_0$ holds. In this case, the Hamiltonian is invariant and:

$$\begin{aligned}\mathcal{H}' &= \mathcal{H} \\ \psi' &= \mathbf{R} \psi \\ (\psi^T)' &= \psi^T \mathbf{R}^T = -\psi^T \gamma_0 \mathbf{R}^{-1} \gamma_0 \\ (\psi^T \gamma_0)' &= \psi^T \gamma_0 \mathbf{R}^{-1} \\ \mathbf{F}' &= \mathbf{R} \mathbf{F} \mathbf{R}^{-1} \\ \mathbf{M}' &= \mathbf{R} \mathbf{M} \mathbf{R}^{-1} \\ \mathbf{S}' &= \mathbf{R} \mathbf{S} \mathbf{R}^{-1}\end{aligned}$$

The RDM's and the **Hamilton equations of motion** have the **same form** in all coordinate systems. The transfer matrix $\mathbf{M}$ is a symplectic transformation matrix. (i.e. the Hamiltonian matrix $\mathbf{F}$ is the generator of a symplectic transformation).

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Symplectic transformations are given by (products of) matrix-exponentials of symplectics. There are ten **basic** symplectics, i.e. γ_k with $k \in [0 - 9]$:

$$\mathbf{F} = \sum_{k=0}^9 f_k \gamma_k .$$

Hence we have ten possible symplectic transformations controlled by a (dimensionless) parameter ε :

$$\begin{aligned} \mathbf{R}_k(\varepsilon) &= \exp(\gamma_k \varepsilon / 2) \\ \mathbf{R}_k^{-1}(\varepsilon) &= \exp(-\gamma_k \varepsilon / 2) = \mathbf{R}_k(-\varepsilon) \end{aligned}$$

Consider we transform a matrix

$\mathbf{X} = \mathcal{E} \gamma_0 + P_x \gamma_1 + P_y \gamma_2 + P_z \gamma_3$ using $\mathbf{R}_4$, then we obtain after decomposition into the RDM-coefficients:

$$\mathbf{X}' = \mathbf{R} \mathbf{X} \mathbf{R}^{-1}$$

$$\mathbf{X}' = \mathcal{E}' \gamma_0 + P'_x \gamma_1 + P'_y \gamma_2 + P'_z \gamma_3$$

$$\mathcal{E}' = \mathcal{E} \cosh(\varepsilon) + P_x \sinh(\varepsilon)$$

$$P'_x = P_x \cosh(\varepsilon) + \mathcal{E} \sinh(\varepsilon)$$

$$P'_y = P_y$$

$$P'_z = P_z$$

Using the usual parametrization in Minkowski space where ε is the “rapidity” ($\beta = \tanh(\varepsilon)$, $\gamma = \cosh(\varepsilon)$, $\beta\gamma = \sinh(\varepsilon)$), we find

$$\mathcal{E}' = \gamma \mathcal{E} + \beta \gamma P_x$$

$$P'_x = \gamma P_x + \beta \gamma \mathcal{E}$$

which is evidently identical to a Lorentz boost along x .

Same transformation, but

$$\mathbf{X} = E_x \gamma_4 + E_y \gamma_5 + E_z \gamma_6 + B_x \gamma_7 + B_y \gamma_8 + B_z \gamma_9:$$

$$\mathbf{X}' = \mathbf{R} \mathbf{X} \mathbf{R}^{-1}$$

$$E'_x = E_x$$

$$B'_x = B_x$$

$$E'_y = E_y \cosh(\varepsilon) + B_z \sinh(\varepsilon)$$

$$E'_z = E_z \cosh(\varepsilon) - B_y \sinh(\varepsilon)$$

$$B'_y = B_y \cosh(\varepsilon) - E_z \sinh(\varepsilon)$$

$$B'_z = B_z \cosh(\varepsilon) + E_y \sinh(\varepsilon)$$

which is again identical to a Lorentz boost along x, but now of the e.m. fields.

Lorentz transformations in Minkowski space-time are isomorphic to (a subset of) symplectic transformations in two dimensions.

Assume we associate the RDM-coefficients of

- γ_0 with time-component of a 4-vector (i.e. energy $\mathcal{E}$)
- $\vec{\gamma}$ with the space-components of a 4-vector (i.e. momentum $\vec{P}$)
- γ_4, γ_5 and γ_6 with the electric field components $\vec{E}$
- γ_7, γ_8 and γ_9 with the magnetic field components $\vec{B}$

then we obtain a **physically meaningful and transparent notation of the components of the force matrix and survey of symplectic transformations**. I call this isomorphism **electromechanical equivalence**.

The symplectic transformations generated by the ten RDMs $\gamma_0 \dots \gamma_9$ are

- γ_0 : phase rotation.
- $\vec{\gamma}$ (γ_1, γ_2 and γ_3): phase boost along x, y, z .
- γ_4, γ_5 and γ_6 : Lorentz boost along x, y, z .
- γ_7, γ_8 and γ_9 : Spatial rotation about along x, y, z .

Rotations and Lorentz boosts are supposed to be well known.
What do “phase rotations” and “phase boosts”?

The “phase rotation” $\mathbf{R}_0$ gives $\mathcal{E}' = \mathcal{E}$ and $\vec{B}' = \vec{B}$, but:

$$\begin{aligned}\vec{P}' &= \cos \varepsilon \vec{P} - \sin \varepsilon \vec{E} \\ \vec{E}' &= \cos \varepsilon \vec{E} + \sin \varepsilon \vec{P}\end{aligned}$$

The “phase boosts” $\mathbf{R}_k$ $k \in [1 - 3]$ are like Lorentz boosts, but with $\vec{E}$ and $\vec{P}$ exchanged. Hence they are identical to a sequence of 90° phase rotation + Lorentz boost + 90° inverse phase rotation.

Lorentz boosts: $\vec{E} \vec{B}$ and $\vec{E}^2 - \vec{B}^2$ are invariant. Phase boosts: $\vec{P} \vec{B}$ and $\vec{P}^2 - \vec{B}^2$ are invariant.

Envelope equation (from above):

$$\dot{\mathbf{S}} = \mathbf{F} \mathbf{S} - \mathbf{S} \mathbf{F},$$

The Lorentz force equation then writes (using proper time τ):

$$\frac{d\mathbf{P}}{d\tau} = \dot{\mathbf{P}} = \frac{q}{2m} (\mathbf{F} \mathbf{P} - \mathbf{P} \mathbf{F}),$$

In the lab frame time $dt = \gamma d\tau$ this write as (setting $c = 1$):

$$\begin{aligned} \frac{d\mathcal{E}}{d\tau} &= \frac{q}{m} \vec{P} \vec{E} & \frac{d\vec{P}}{d\tau} &= \frac{q}{m} \left(\mathcal{E} \vec{E} + \vec{P} \times \vec{B} \right) \\ \gamma \frac{d\mathcal{E}}{dt} &= q \gamma \vec{v} \vec{E} & \gamma \frac{d\vec{P}}{dt} &= \frac{q}{m} \left(m \gamma \vec{E} + m \gamma \vec{v} \times \vec{B} \right) \\ \frac{d\mathcal{E}}{dt} &= q \vec{v} \vec{E} & \frac{d\vec{P}}{dt} &= q \left(\vec{E} + \vec{v} \times \vec{B} \right), \end{aligned}$$

which are exactly the Lorentz force equations.

If one writes the 4-potential Φ (4-current $\mathbf{J}$, 4-momentum $\mathbf{P}$) as a 4-vector using the RDMs $\gamma_0 \dots \gamma_3$ according to

$$\Phi = \phi \gamma_0 + A_x \gamma_1 + A_y \gamma_2 + A_z \gamma_3 = \phi \gamma_0 + \vec{\gamma} \vec{A},$$

the 4-derivative $\mathbf{D}$ as

$$\mathbf{D} = \partial_t \gamma_0 - \partial_x \gamma_1 - \partial_y \gamma_2 - \partial_z \gamma_3,$$

and the electromagnetic fields $\vec{E}$ and $\vec{B}$ as

$$\mathbf{F} = E_x \gamma_4 + E_y \gamma_5 + E_z \gamma_6 + B_x \gamma_7 + B_y \gamma_8 + B_z \gamma_9,$$

then the Maxwell equations can be written (remarkably compact) as:

$$\begin{aligned} \mathbf{F} &= -\mathbf{D} \Phi \\ \mathbf{D} \mathbf{F} &= 4\pi \mathbf{J}, \end{aligned}$$

with the usual choice of units.

The effect of a basic symplex γ_b is given by:

$$\begin{aligned}
 \mathbf{R}_b &= \exp(\gamma_b \varepsilon/2) \\
 \mathbf{R}_b &= \begin{cases} \mathbf{1} \cos(\varepsilon/2) + \gamma_b \sin(\varepsilon/2) & \text{for } \gamma_b^2 = -1 \\ \mathbf{1} \cosh(\varepsilon/2) + \gamma_b \sinh(\varepsilon/2) & \text{for } \gamma_b^2 = 1 \end{cases} \\
 \mathbf{R}_b^{-1} &= \exp(-\gamma_b \varepsilon/2) \\
 &= \begin{cases} \mathbf{1} \cos(\varepsilon/2) - \gamma_b \sin(\varepsilon/2) & \text{for } \gamma_b^2 = -1 \\ \mathbf{1} \cosh(\varepsilon/2) - \gamma_b \sinh(\varepsilon/2) & \text{for } \gamma_b^2 = 1 \end{cases}
 \end{aligned}$$

Transformations with $\gamma_b^2 = -1$ are orthogonal transformations, i.e. *rotations* about angle ε , while those with $\gamma_b^2 = 1$ are *boosts* with “rapidity” ε .

Hence the transformed matrices are

$$\begin{aligned}
 \gamma'_a &= \mathbf{R} \gamma_a \mathbf{R} \\
 &= \mathbf{R} \gamma_a \mathbf{R}^{-1} \\
 &= (c - s \gamma_b) \gamma_a (c + s \gamma_b) \\
 &= c^2 \gamma_a - \gamma_b \gamma_a \gamma_b s^2 + c s (\gamma_a \gamma_b - \gamma_b \gamma_a),
 \end{aligned}$$

where c and s are (hyperbolic) sine- and cosine-functions.

The last term of the right vanishes if γ_b and γ_a commute, so that

$$\begin{aligned}
 \gamma'_a &= \gamma_a (c^2 - \gamma_b^2 s^2) \\
 &= \gamma_a \begin{cases} \cos^2(\varepsilon) + \sin^2(\varepsilon) = 1 & \text{for } \gamma_b^2 = -1 \\ \cosh^2(\varepsilon) - \sinh^2(\varepsilon) = 1 & \text{for } \gamma_b^2 = 1 \end{cases}
 \end{aligned}$$

(Unity transformation.)

If γ_b and γ_a anticommute, one finds:

$$\begin{aligned}\gamma'_a &= \gamma_a (c^2 + \gamma_b^2 s^2) + 2 c s \gamma_a \gamma_b \\ &= \begin{cases} \gamma_a \cos(2\varepsilon) + \gamma_a \gamma_b \sin(2\varepsilon) & \text{for } \gamma_b^2 = -1 \\ \gamma_a \cosh(2\varepsilon) + \gamma_a \gamma_b \sinh(2\varepsilon) & \text{for } \gamma_b^2 = 1 \end{cases}\end{aligned}$$

Note: This treatment of Lorentz transformations and spatial rotations of the classical state vector $\psi = (q_1, p_1, q_2, p_2)^T$ is identical to the transformation formalism and properties of the Dirac spinor $\psi(\mathbf{x}, t)$ in QED!

The most general force matrix $\mathbf{F}$ of a symplectic process can be written setting formally $\vec{\gamma} = (\gamma_1, \gamma_2, \gamma_3)^T$ according to the EMEQ as:

$$\begin{aligned} \mathbf{F} &= \mathcal{E} \gamma_0 + \vec{P} \vec{\gamma} + \vec{E} \gamma_0 \vec{\gamma} + \vec{B} \gamma_{14} \gamma_0 \vec{\gamma} \\ &= \begin{pmatrix} -E_x & E_z + B_y & E_y - B_z & B_x \\ E_z - B_y & E_x & -B_x & -E_y - B_z \\ E_y + B_z & B_x & E_x & E_z - B_y \\ -B_x & -E_y + B_z & E_z + B_y & -E_x \end{pmatrix} \\ &+ \begin{pmatrix} -P_z & \mathcal{E} - P_x & 0 & P_y \\ -\mathcal{E} - P_x & P_z & -P_y & 0 \\ 0 & P_y & -P_z & \mathcal{E} + P_x \\ P_y & 0 & -\mathcal{E} + P_x & P_z \end{pmatrix}. \end{aligned}$$

Bringing $\mathbf{F}$ to block-diagonal form then means to find a symplectic transformation $\mathbf{R}$ with $\mathbf{F}' = \mathbf{R} \mathbf{F} \mathbf{R}^{-1}$ such that $E'_y = B'_x = B'_z = P'_y = 0$.

The eigenvalues of the force matrix $\mathbf{F}$ are

$$\begin{aligned}\lambda &= \text{Diag}(i\omega_1, -i\omega_1, i\omega_2, -i\omega_2) \\ K_1 &= \mathcal{E}^2 + \vec{B}^2 - \vec{E}^2 - \vec{P}^2 \\ K_2 &= -2\mathcal{E}\vec{P}(\vec{E} \times \vec{B}) + \mathcal{E}^2 \vec{B}^2 + \vec{E}^2 \vec{P}^2 \\ &\quad - (\vec{E}\vec{P})^2 - (\vec{E}\vec{B})^2 - (\vec{P}\vec{B})^2 \\ \omega_1 &= \sqrt{K_1 + 2\sqrt{K_2}} \\ \omega_2 &= \sqrt{K_1 - 2\sqrt{K_2}} \\ \text{Det}(\mathbf{F}) &= K_1^2 - 4K_2\end{aligned}$$

System stable $\Rightarrow$ eigenfrequencies are real:

$$\begin{aligned}K_2 &\geq 0 \\ K_1 &\geq 2\sqrt{K_2}\end{aligned}$$

“Fields” being zero:

$$\begin{aligned} K_1 &= \mathcal{E}^2 - \vec{P}^2 \equiv m^2 \\ K_2 &= 0 \\ \omega_1 &= \omega_2 = \sqrt{K_1} = m \\ \text{Det}(\mathbf{F}) &= K_1^2 = m^4 \end{aligned}$$

“Energy + momentum” being zero:

$$\begin{aligned} \lambda &= \text{Diag}(i\omega_1, -i\omega_1, i\omega_2, -i\omega_2) \\ K_1 &= \vec{B}^2 - \vec{E}^2 \\ K_2 &= -(\vec{E}\vec{B})^2 \end{aligned}$$

System stable $\Rightarrow$ eigenfrequencies must be real (only possible for $\vec{E}\vec{B} = 0!!$):

$$\omega_1 = \omega_2 = \sqrt{K_1} = \sqrt{\vec{B}^2 - \vec{E}^2}$$

- Algebraic extension of 1-dim. Courant-Snyder theory (CST) with Real Pauli Matrices (RPMs) is 2-dim. CST with Real Dirac Matrices (RDMs).
- The Ansatz using RDMs allow for a complete, final and comprehensive generalization as RDMs form a *complete basis* of all real 4×4 -matrices.
- Based on the RDMs, a survey of symplectic transformations was given.
- The electromechanical equivalence (EMEQ) allows to use a familiar and meaningful nomenclature.
- A close and remarkable isomorphism of 2-dim. classical state vector and the Dirac spinor has been presented.

Algebraic
 CST_2 : Real
Dirac Matrices

C. Baumgarten

Hamiltonian

Eigensystems

Real Dirac
Matrices
(RDMs)

Symplectic
Transformations

Electromechanical
Equivalence
(EME_Q)

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